

TRANSMITTAL LETTER
(General - Patent Issued)

Docket No.
END920010058US1

Patentee(s): Address

U.S. Patent No.

7,000,181

Issue Date

February 14, 2006

Title: DYNAMIC DATA DISPLAY HAVING SLIDE DRAWER WINDOWING

Certificate
MAR 28 2006
of Correction

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Certificate of Correction

16 Drawing Sheets

Appendix 1 - Petition to Allow Color Drawings

Appendix 2 - Page 20 of the 02/14/2005 response to the 11/18/2004 Office Action

Postcard

No fee is necessary below. Errors were made by the United States Patent Office

No additional fee is required.

A check in the amount of _____ is attached.

The Director is hereby authorized to charge and credit Deposit Account 09-0457 (IBM) as described below.

Charge the amount of _____

Credit any overpayment.

Charge any additional fee required.

Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.


Signature

Dated: 03-22-2006

Jack P. Friedman, Ph.D.

Reg. No. 44,688

Schmeiser, Olsen & Watts
3 Lear Jet Lane, Suite 201
Latham, NY 12110
(518) 220-1850

Customer No.: 30449

CC:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

03-22-2006

(Date)


Signature of Person Mailing Correspondence

Betty Zuelsdorf

Typed or Printed Name of Person Mailing Correspondence

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 7,000,181

DATED : February 14, 2006

INVENTOR(S) : Press

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Page 2, Column 1

Line 9, delete "Fillings" and insert --filings--

Drawings

Drawing sheets 4-14 are to be published in color. A set of drawings in color is enclosed. A copy of the *Petition to Allow Color Drawings* is enclosed (Appendix 1). The Examiner objected to the clarity of Figures 7 - 17 in the drawings sheets in the 11/18/2004 *Office Action*. The applicant submitted black and white drawings in the 02/14/2005 response to the *Office Action* as a courtesy for the benefit of the Examiner in reading the drawings. In the 02/14/2005 response the applicant states that the black and white drawing sheets were not a replacement for the colored drawing sheet originally submitted. (Appendix 2).

Column 3

Lines 66 and 67, delete "D3" and insert --D₃--

Column 4

Line 1, delete "D3" and insert --D₃--

Column 7

Line 52, delete "M_≥" and insert --M_≥--

Column 10

Line 61, delete "highlighted until" and insert --highlighted in color until--

Column 12

Line 13, delete "highlights" and insert --highlighting--

MAILING ADDRESS OF SENDER (Please do not use customer number)

PATENT NO. 7,000,181

Jack P. Friedman
Reg. No. 44,688
Schmeiser, Olsen & Watts
3 Lear Jet Lane, Suite 201
Latham, NY 12110

No. of additional copies





Appendix I

Docket No.: END920010058US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert Press) Examiner:
Serial No.:)
Filing Date:) Art Unit:
Title: A DYNAMIC DATA DISPLAY)
HAVING SLIDE DRAWER WINDOWING)

Commissioner for Patents
Washington D.C. 20231

PETITION TO ALLOW COLOR DRAWINGS UNDER 37 CFR §1.84(a)(2)

Sir:

Enclosed herewith, please find:

- (1) A petition fee (\$130.00) under 37 CFR 1.17(i); and
- (2) Three (3) sets of color drawings.

Petitioner respectfully requests entry of the enclosed color drawings to illustrate the invention because the invention is incapable of being accurately or adequately depicted by black and white drawings. The color drawings represent more accurately and distinctly the layout of the preferred embodiment of the invention and more clearly depict the invention than possible with black and white drawings, thus the color drawings comply with the rule concerning such a showing.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0457, the petition fee as set forth above.

Respectfully submitted,

Date: 6/20/2001

Jack P. Friedman
Jack P. Friedman
Reg. No. 44,688

from the Response, filed 2/14/05
to the 11/18/2004 Office Action.

Appendix 2

Drawings Objections

The Examiner objected to the drawings under 37 CFR 1.83(a), alleging that FIGS. 7-17 "are too dark, and so fail to show contents as described in the specification."

In response, Applicant notes that Applicant originally filed colored drawings and also filed a petition to allow color drawings under 37 CFR §1.84(a)(2). In a telephone discussion on 02/11/2005, Examiner Cong-Lac Huynh and Applicant's Representative Jack P. Friedman agreed that as an acceptable response to the drawings objections, Applicant will include in the present office action response, an Appendix A comprising a copy of FIGS. 7-17 in black and white in order to make it easier for the Examiner to read the drawings during prosecution of the present patent application. As a courtesy to the Examiner, Applicant is also including herewith FIGS. 1-6 and 18-21 in black and white. Accordingly, Appendix A in the present office action response includes a copy of FIGS. 1-21 in black and white. FIGS. 1-21 in black and white, in Appendix A, are for the convenience of the Examiner and are not replacement sheets for the drawings.



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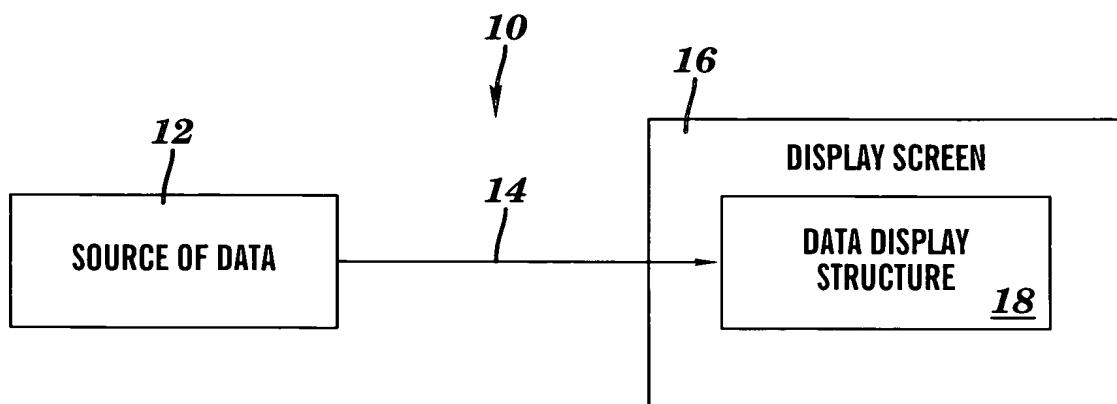


FIG. 1

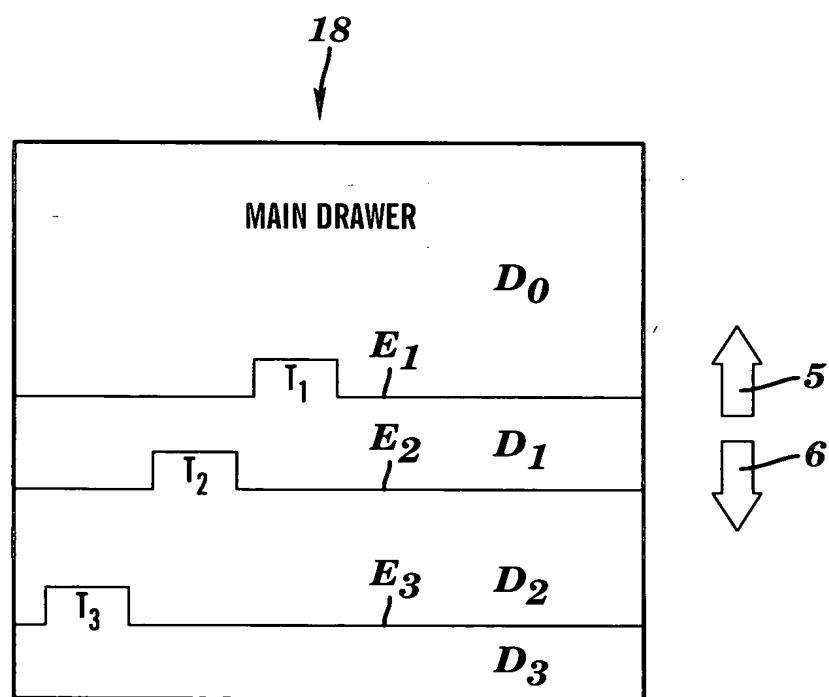


FIG. 2

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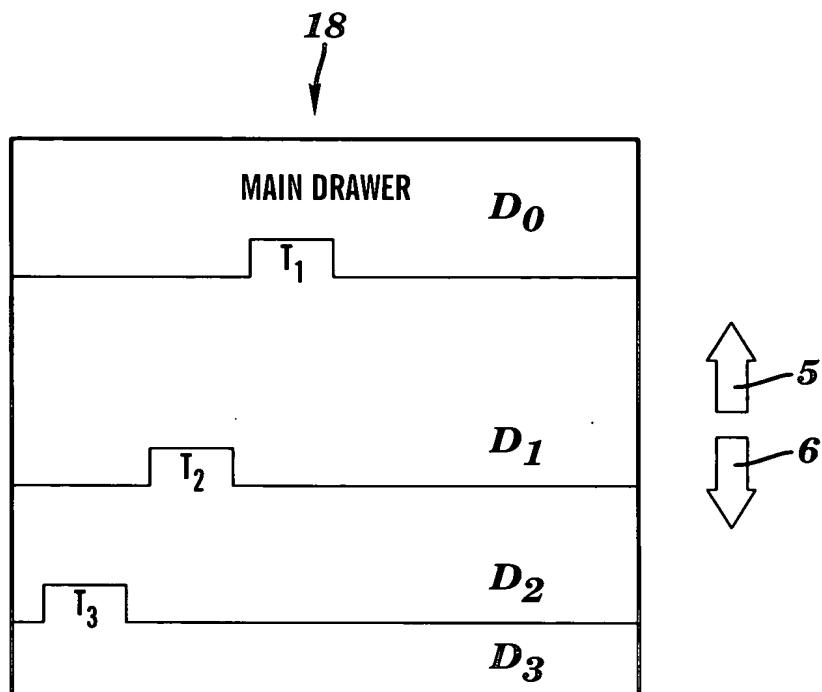


FIG. 3

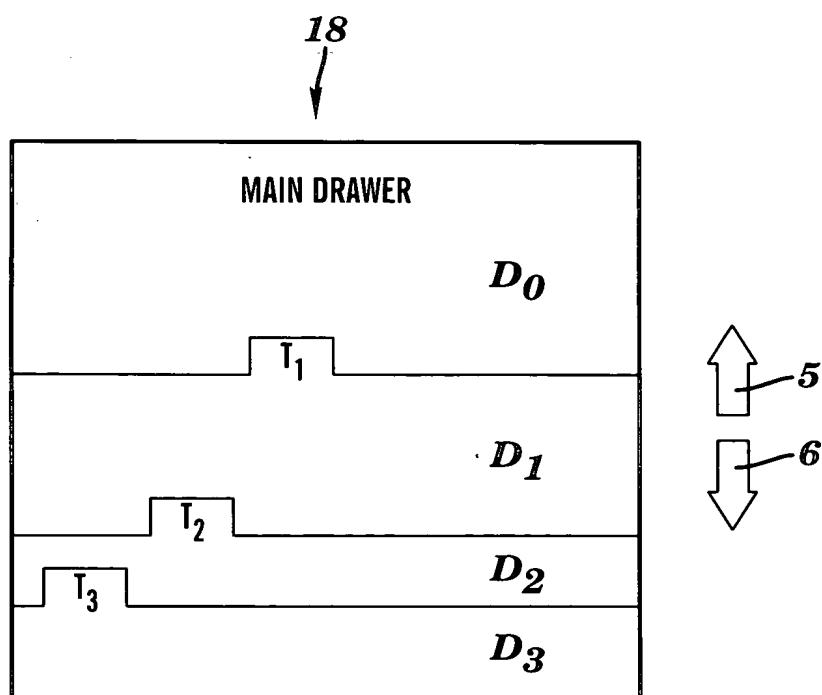


FIG. 4

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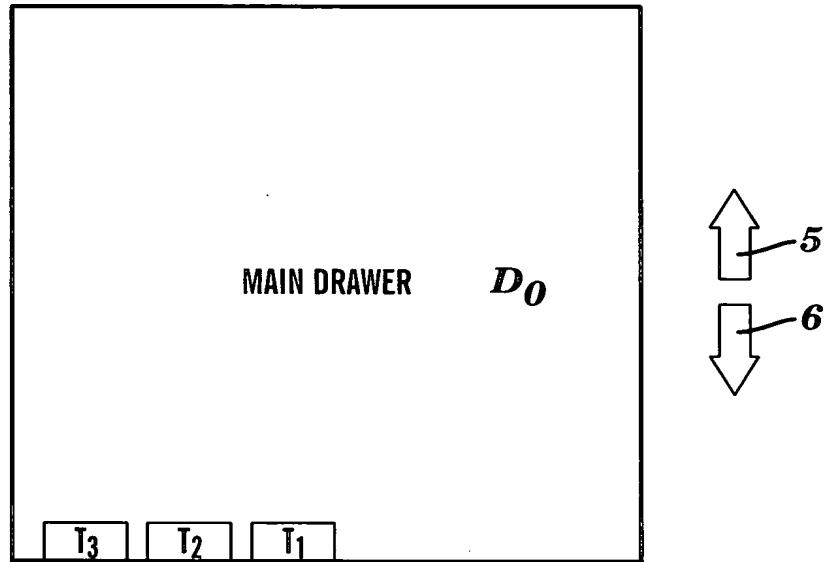


FIG. 5

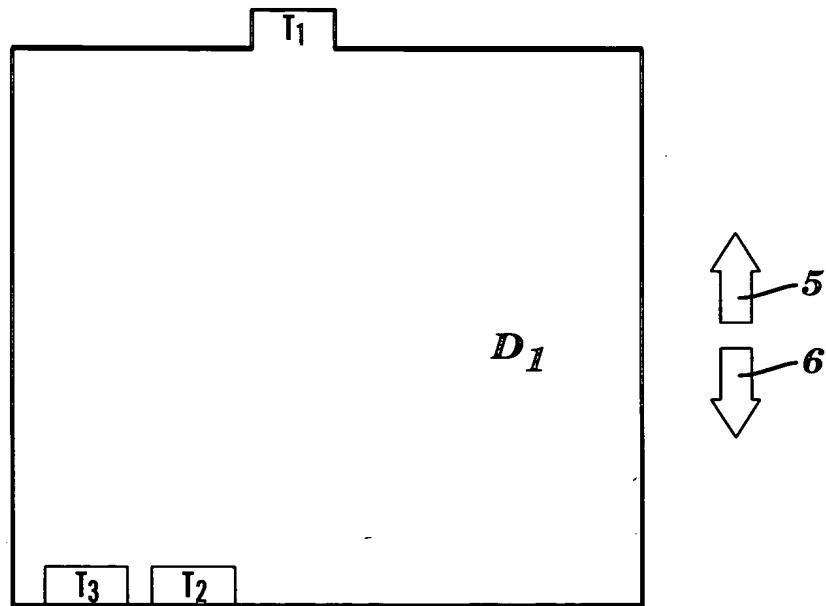
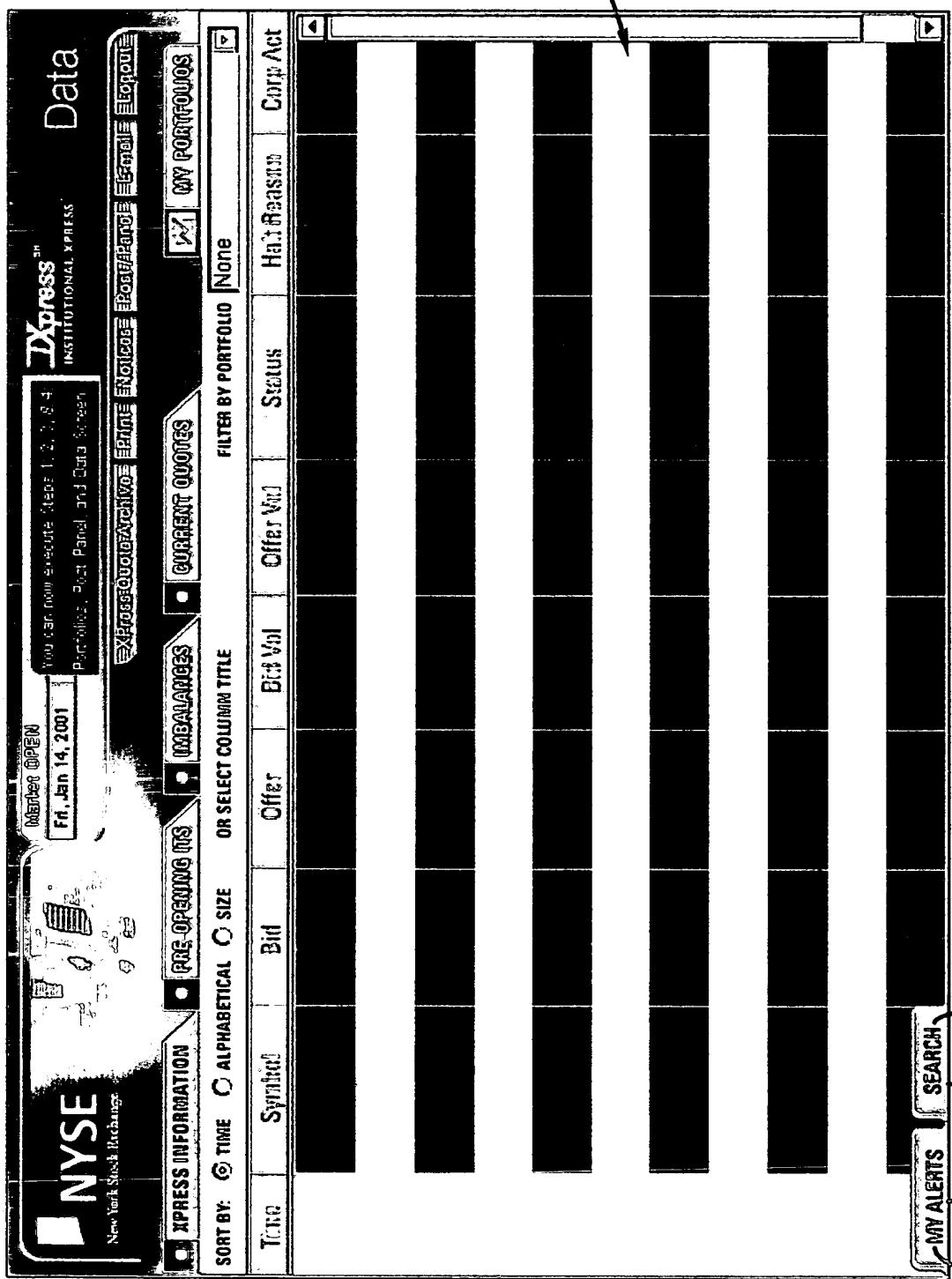


FIG. 6

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7
FIG.

36 34 24 26

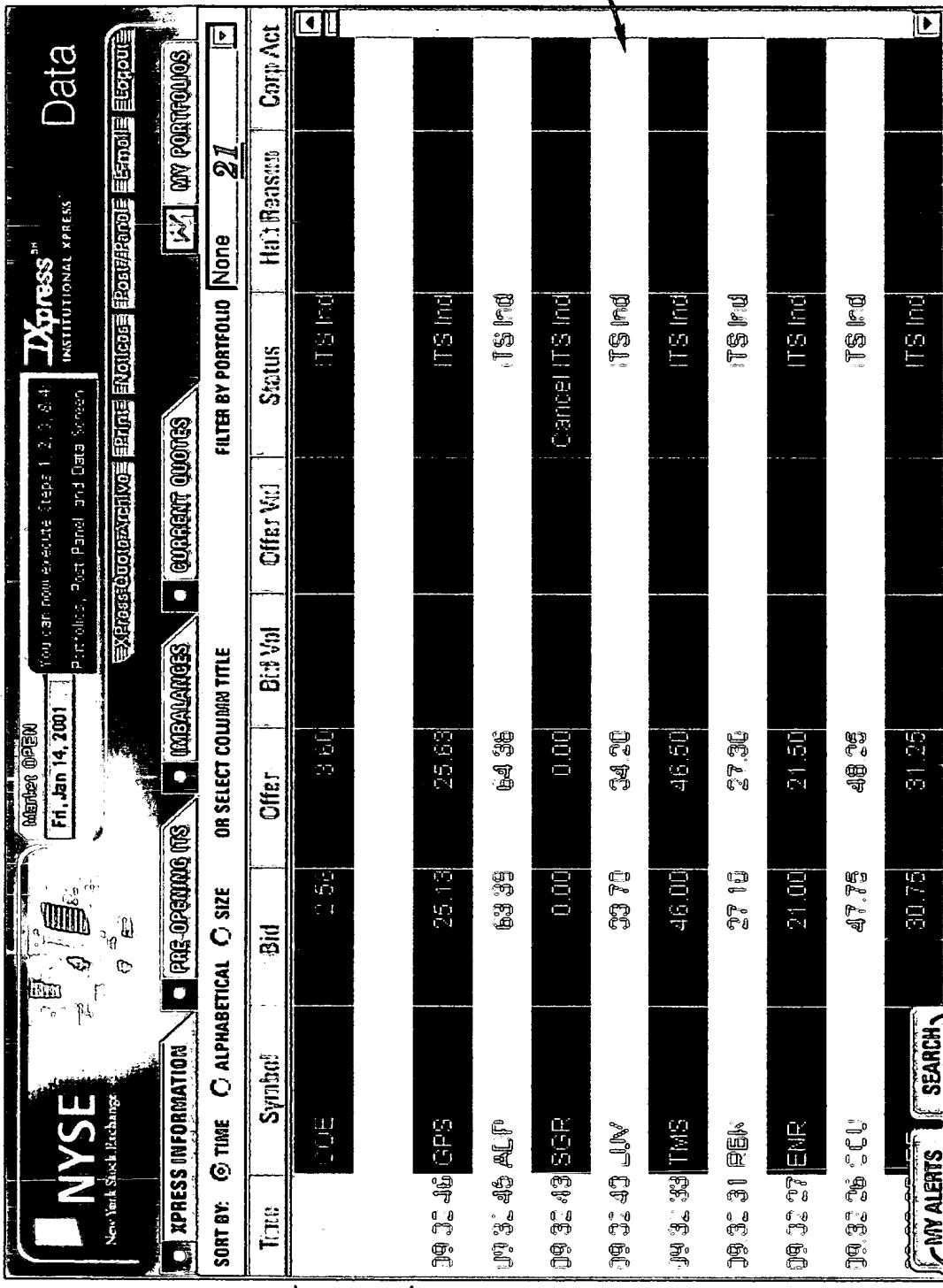


FIG. 8

— 36 34 24 26

४२

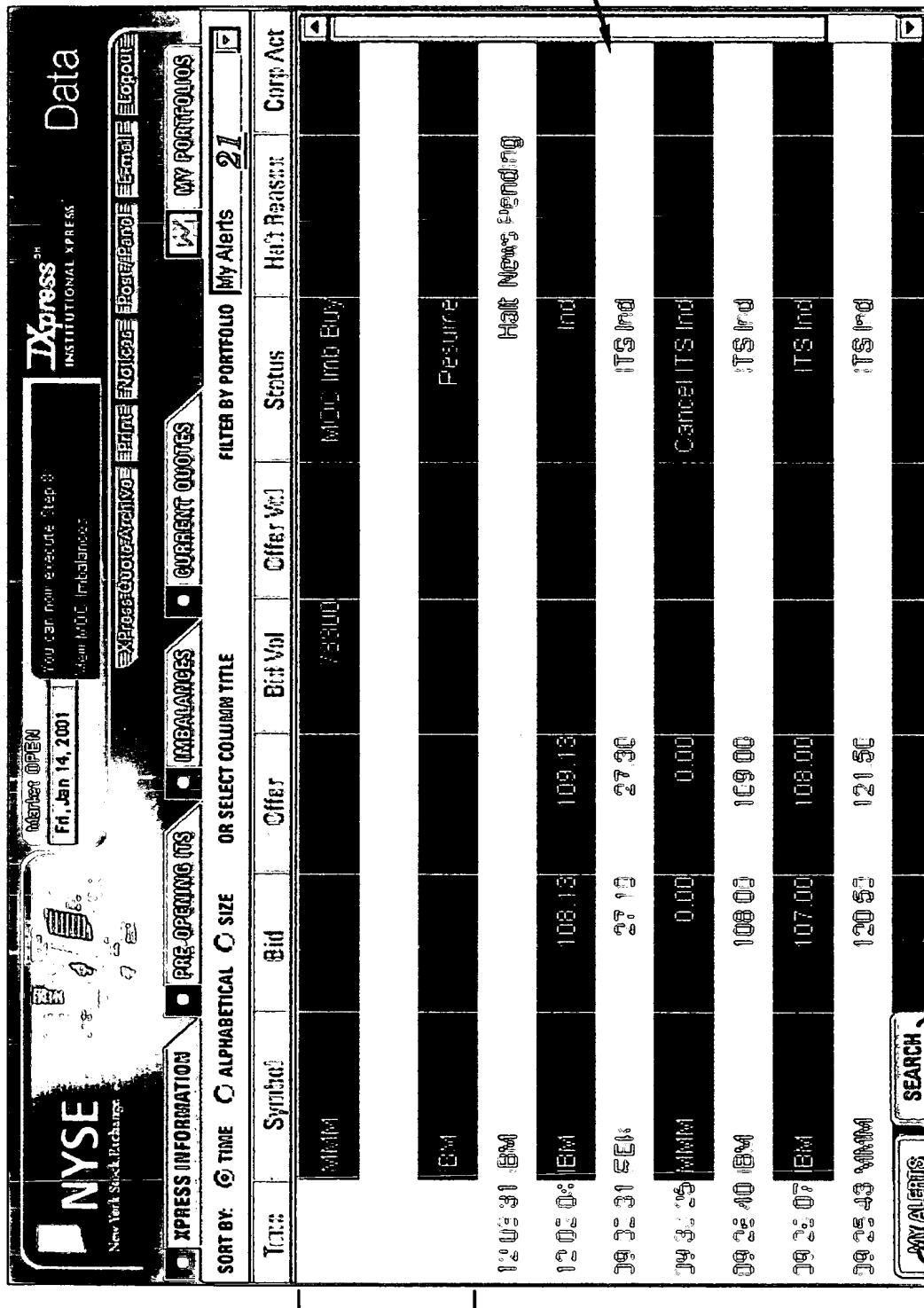
FIG. 9

The screenshot shows the NYSE DExpress Data application interface. At the top, there's a banner for 'DExpress INSTITUTIONAL EXPRESS' with a message about step 5 and a date 'Fri, Jan 14, 2001'. Below the banner, the title 'Data' is displayed. The main area contains a table of stock quotes with columns for Ticker, Symbol, Bid, Offer, Bid Vol, Offer Vol, Status, and Comp Act. The table includes several entries, such as TII, CPE, MVR, and VDO. Annotations include:

- A double-headed arrow labeled '20' spans the width of the table.
- An arrow labeled '5' points to the 'Offer' column header.
- An arrow labeled '6' points to the 'Offer Vol' column header.
- An arrow labeled '22' points to the 'Offer' column of the first row (TII).
- An arrow labeled '7' points to the 'Offer' column of the second row (CPE).
- An arrow labeled '8' points to the 'Offer' column of the third row (MVR).
- An arrow labeled '9' points to the 'Offer' column of the fourth row (VDO).
- An arrow labeled '10' points to the 'Offer' column of the fifth row (POG.WS).
- An arrow labeled '11' points to the 'Offer' column of the sixth row (TSR).
- An arrow labeled '12' points to the 'Offer' column of the seventh row (TSR).
- An arrow labeled '13' points to the 'Offer' column of the eighth row (TSR).
- An arrow labeled '14' points to the 'Offer' column of the ninth row (TSR).
- An arrow labeled '15' points to the 'Offer' column of the tenth row (TSR).
- An arrow labeled '16' points to the 'Offer' column of the eleventh row (TSR).
- An arrow labeled '17' points to the 'Offer' column of the twelfth row (TSR).
- An arrow labeled '18' points to the 'Offer' column of the thirteenth row (TSR).
- An arrow labeled '19' points to the 'Offer' column of the fourteenth row (TSR).
- An arrow labeled '20' points to the 'Offer' column of the fifteenth row (TSR).
- An arrow labeled '21' points to the 'Offer' column of the sixteenth row (TSR).
- An arrow labeled '22' points to the 'Offer' column of the seventeenth row (TSR).
- An arrow labeled '23' points to the 'Offer' column of the eighteenth row (TSR).
- An arrow labeled '24' points to the 'Offer' column of the nineteenth row (TSR).
- An arrow labeled '25' points to the 'Offer' column of the twentieth row (TSR).
- An arrow labeled '26' points to the 'Offer' column of the twenty-first row (TSR).

At the bottom right, there are buttons for 'MY ALERTS' and 'SEARCH'.

FIG. 10



31

37

FIG. 11

The screenshot shows a software interface titled "INSTITUTIONAL X-PRESS" with the "Data" tab selected. At the top, there are several menu items: "File", "Edit", "View", "Insert", "Format", "Tools", "Help", and "Xpress". Below the menu bar, there are two date fields: "Fri, Jan 14, 2001" and "Mon, Jan 15, 2001". A status bar at the bottom displays "New in 1 Stock Purchasing".

The main area contains a table of stock quotes:

Symbol	Syntel	Bid	Offer	Bid Vol	Offer Vol	Status	Halt Reason	Corp Act
SYNT	REB	27.10		27.30		ITS Ind		
SYNT	SYNT	0.00	0.00	0.00	0.00	Cancled	ITS Ind	
SYNT	SYNT	100.00	100.00			ITS Ind		
SYNT	SYNT	100.00	100.00	100.00	100.00	TS Ind		
SYNT	SYNT	120.50	121.50			ITS Ind		

Below the table, there are several menu options and buttons:

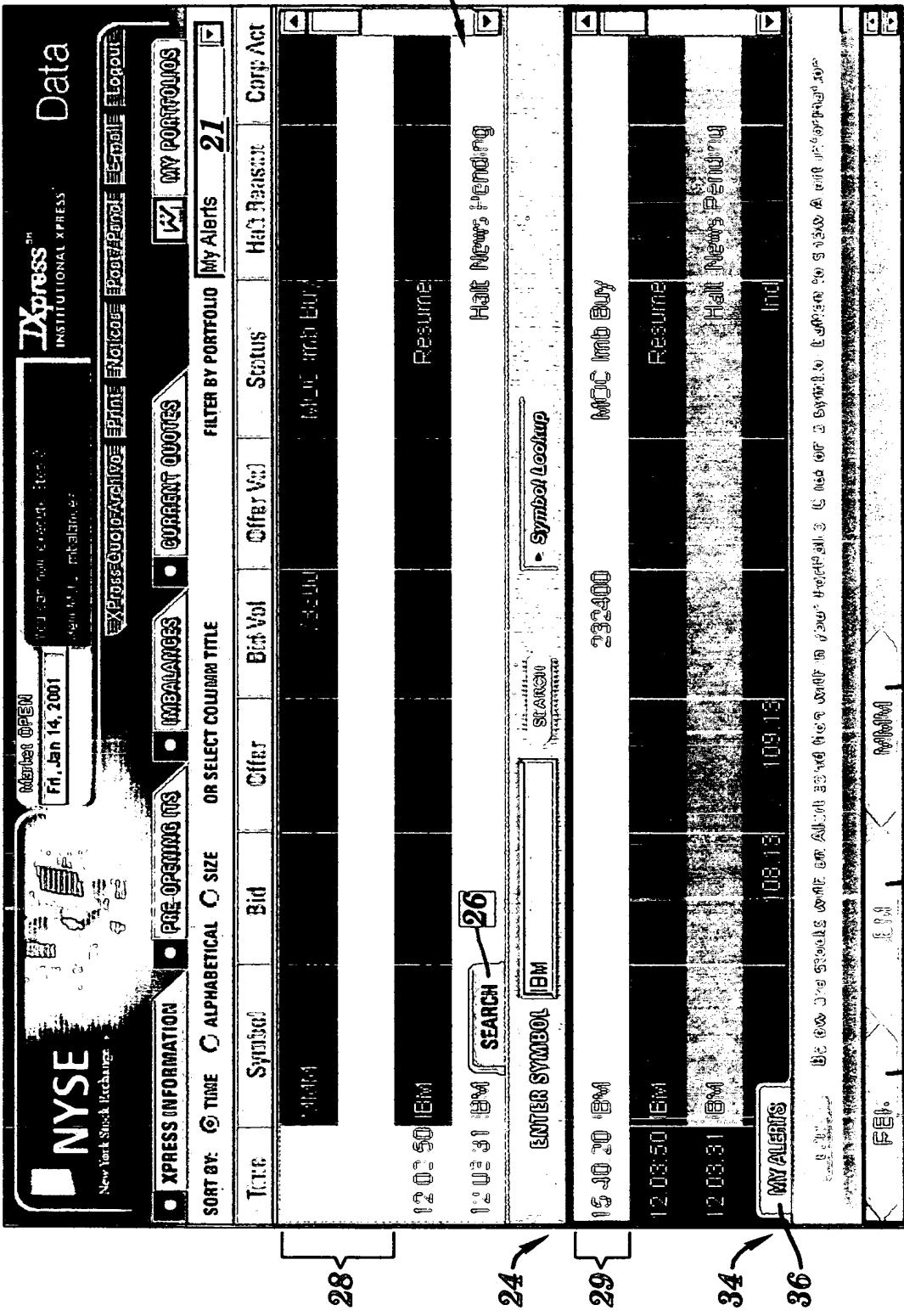
- XPRESS INFORMATION**: Radio button selected.
- PRE-OPENING QUOTES**: Radio button.
- CURRENT QUOTES**: Radio button.
- FILTER BY PORTFOLIO**: Button.
- MY PORTFOLIOS**: Button.
- My Alerts**: Button.
- SEARCH**: Button.
- MY ALERTS**: Button.

Annotations with callouts point to specific elements:

- A double-headed arrow labeled "20" points to the "XPRESS INFORMATION" radio button.
- A double-headed arrow labeled "5" points to the "SEARCH" button.
- A double-headed arrow labeled "6" points to the "MY ALERTS" button.
- A double-headed arrow labeled "22" points to the "MY PORTFOLIOS" button.
- A double-headed arrow labeled "8/16" points to the "SEARCH" button.

36 34 24 26

FIG. 12



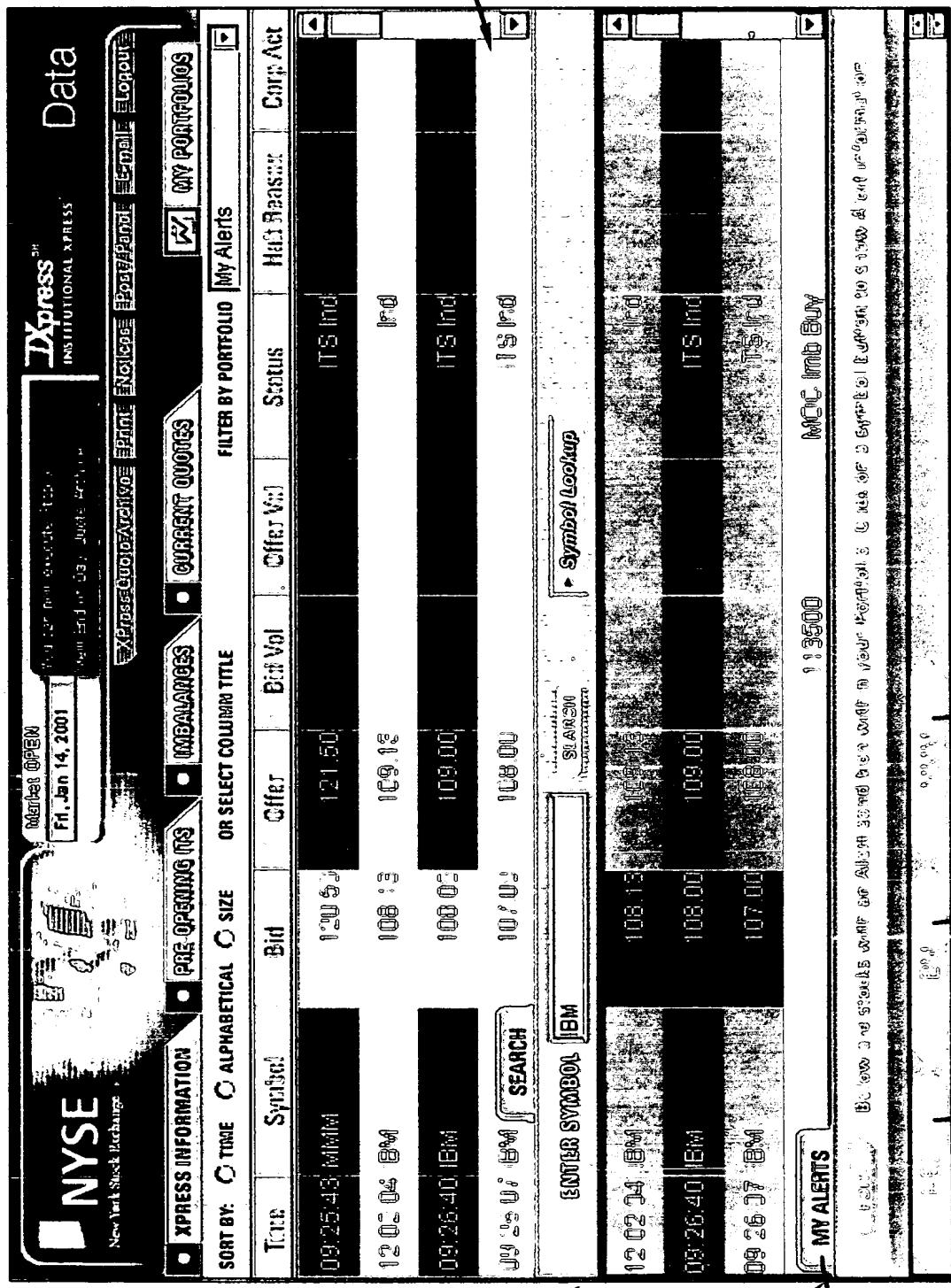


FIG. 13

-43

-42

-41

247

34 36
33 33

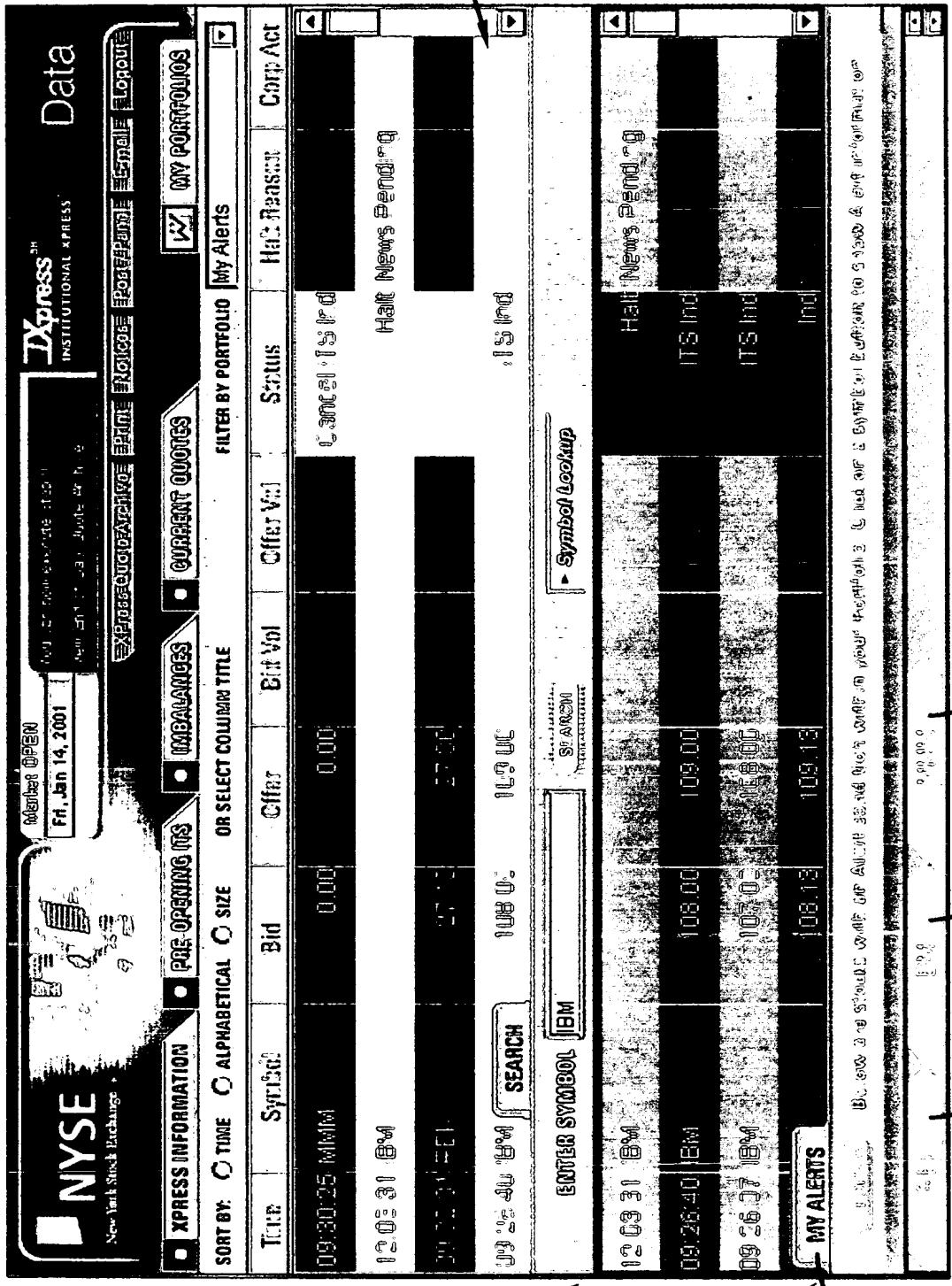


FIG. 14

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Time	Symbol	Bid	Offer	Offer Vol	Offer Vol	Status	Halt Reason	Corp Act
09:30:25	INTL	0.00	0.00			C	Initial Trade	
12:02:31	IBM						Halt News Pending	
09:32:31	GE	27.15	27.20					
09:26:07	BMW	107.00	108.00			TG Prod		
09:26:43	WMT	120.53	121.50			TS Prod		
12:02:14	BMW	108.13	109.13			TS Prod		
15:40:26	INTL						733100	

20

22

5

6

SEARCH

MY ALERTS

FIG. 15

36 34 24 26

FIG. 16

The screenshot shows the NYSE Express Data interface. At the top, there's a banner for 'Express OPEN' dated 'Fri Jan 14, 2001'. Below it, the 'Data' section has tabs for 'EXPRESS INFORMATION', 'FREE OPERATING INFO', 'MEALANGES', and 'CURRENT QUOTES'. A dropdown menu 'SELECT COLUMN TITLE' is open. The main area displays current quotes for IBM:

Time	Symbol	Bid	Offer	Bid Vol	Offer Vol	Status	Halt Reason	Corp Act
09:30:25	IBM	0.00	0.00			Open	ITS Ind	
12:02:31	IBM	0.00	0.00			Open	ITS Ind	

Below the quotes, there's a 'SEARCH' field containing 'IBM' and a 'Symbol Lookup' button. To the right, a 'MY PORTFOLIOS' section shows a table with columns 'Symbol', 'Name', 'Price', and 'Change'. The table contains the following data:

Symbol	Name	Price	Change
IBM	IBM	109.00	-1.00
JPM	JPM	100.00	+1.00
GE	GE	108.13	+0.13
INTC	INTC	135.00	+1.00
MSFT	MSFT	232.00	+1.00
WMT	WMT	15.00	+0.00

At the bottom right, there's a 'MY ALERTS' section.

24

5
6

13/16

36 34

FIG. 17

The screenshot shows the D-Press Data interface. At the top, there's a banner for NYSE (New York Stock Exchange) with the date Fri, Jan 14, 2001. Below the banner, there are several menu options: XPRESS INFORMATION (radio button selected), PRE-OPENING (radio button selected), CURRENT QUOTES (radio button selected), XPRESSARCHIVE, EXPAND, FILTER BY PORTFOLIO, MY PORTFOLIOS, and MY ALERTS.

The main area displays a table of stock quotes:

Time	Symbol	Bid	Offer	Bid Vol	Offer Vol	Status	Halt Reason	Corp Act
12:00:50	EW					Matched	Matched	
12:02:31	EW					Matched	Matched	
12:02:46	EW	108.13	109.13			Matched	Matched	Canceled

Below the table, there are two buttons: SEARCH (with a magnifying glass icon) and MY ALERTS (with a bell icon). A large number 24 is overlaid on the SEARCH button.

Annotations with arrows point to specific elements:

- An arrow labeled 14/16 points to the date header at the top.
- An arrow labeled 20 points to the current quotes menu item.
- An arrow labeled 22 points to the Corp Act column header.
- An arrow labeled 5 points to the Corp Act column header.
- An arrow labeled 6 points to the Corp Act column header.
- An arrow labeled 41 points to the MY ALERTS button.
- An arrow labeled 42 points to the SEARCH button.
- An arrow labeled 43 points to the large number 24.
- An arrow labeled 34 points to the SEARCH button.
- An arrow labeled 36 points to the MY ALERTS button.

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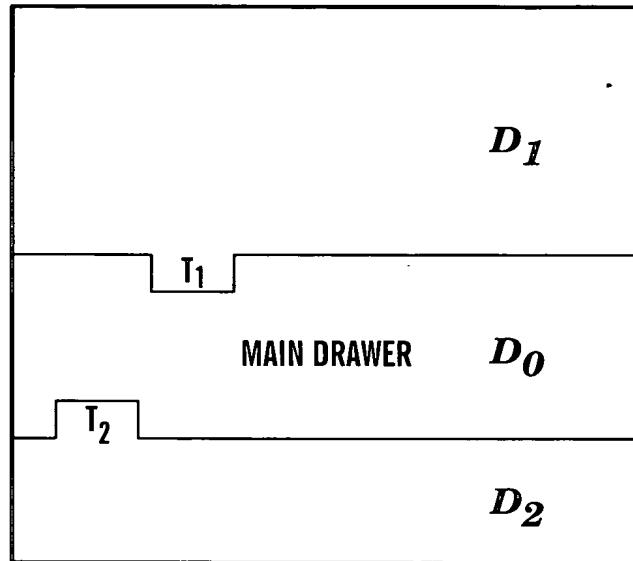


FIG. 18

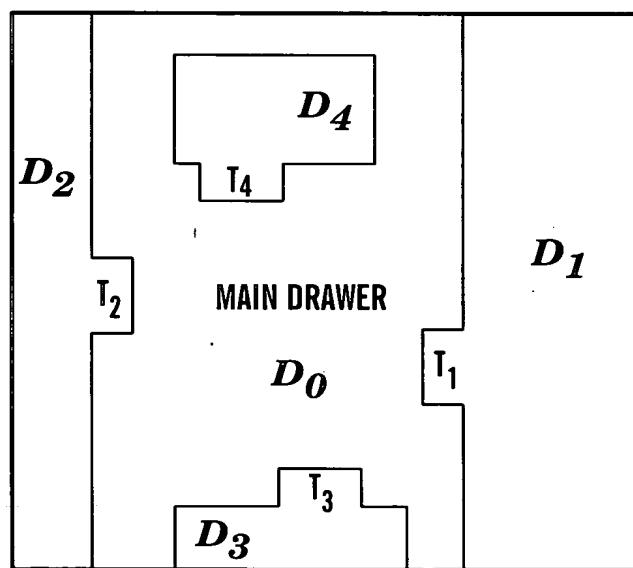


FIG. 19

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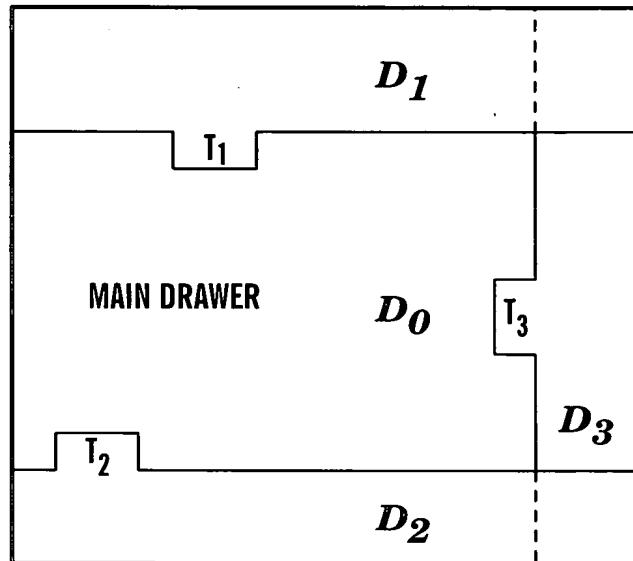


FIG. 20

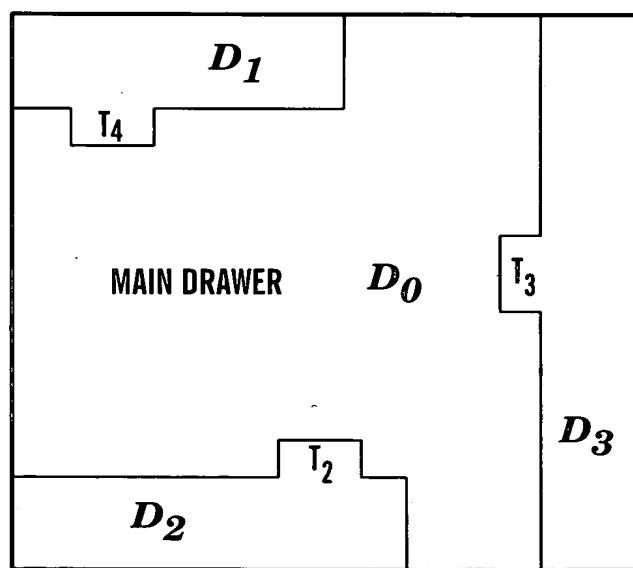


FIG. 21